



PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE0001/PTO
Rev. 10/95U.S. Department of Commerce
Patent and Trademark OfficeApplication Number **09/848,982**Filing Date **May 3, 2001**First Named Inventor **Ted E. Dunning**Group Art Unit Number **2177**Examiner Name **Leslie Wong**

Total Number of Pages in This Submission

7*Attorney Docket Number **22227-05479****RECEIVED****OCT 31 2002****Technology Center 2100****ENCLOSURES (check all that apply)**

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Signature:

Attorney/Reg. No.: **Amir H. Raubvogel, Reg. No. 37,070**Dated: **October 22, 2002****CERTIFICATE OF MAILING**

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22227/05479/DOCS/1305171.1

0002/PTO(modified)
Rev. 10/2001U.S. Department of Commerce
Patent and Trademark Office**FEE TRANSMITTAL****TOTAL AMOUNT OF PAYMENT**Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\\$) 180.00**

		<i>Complete if Known</i>	
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METHOD OF PAYMENT		FEES CALCULATION (continued)																																																																																																																																						
1. The Commissioner is hereby authorized to: <ul style="list-style-type: none"> <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†] <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 		RECEIVED OCT 31 2002 Fee Due Technology Center 2100																																																																																																																																						
Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached		<table border="1"> <tbody> <tr> <td>3. 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		<table border="1"> <thead> <tr> <th colspan="2">(Col. 1)</th> <th colspan="2">(Col. 2)</th> <th colspan="2">(Col. 3)</th> </tr> <tr> <th>For</th> <th>No. of Existing Claims</th> <th>Highest No: Previously Paid For</th> <th>Extra**</th> <th>Fee</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td></td> <td>20 or 0</td> <td>=</td> <td>x</td> <td>=</td> </tr> <tr> <td>INDEP</td> <td></td> <td>3 or 0</td> <td>=</td> <td>x</td> <td>=</td> </tr> </tbody> </table> <p>[] First presentation of multiple dependent claim</p>		(Col. 1)		(Col. 2)		(Col. 3)		For	No. of Existing Claims	Highest No: Previously Paid For	Extra**	Fee	Fee Due	TOTAL		20 or 0	=	x	=	INDEP		3 or 0	=	x	=																																																																																																													
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		<p>* Subtract the greater number of Col. 2</p> <p>** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3</p> <p align="right">SUBTOTAL (2) (\$ 0.00)</p>																																																																																																																																						

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Amir H. Raubvogel	Reg. Number	37,070
Signature		Date	October 22, 2002

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#9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Ted E. Dunning and Bradley D. Kindig

APPLICATION NO.: 09/848,982

FILING DATE: May 3, 2001

TITLE: Text Equivalencing Engine

RECEIVED

EXAMINER: Leslie Wong

OCT 31 2002

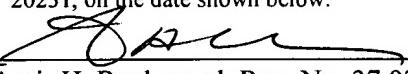
GROUP ART UNIT: 2177

Technology Center 2100

ATTY. DKT. NO.: 22227-05479

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: October 22, 2002By: 

Amir H. Raubvogel, Reg. No. 37,070

COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

**INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449/PTO-8A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
 - on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
Ted E. Dunning and Bradley D. Kindig

Dated: October 22, 2002

By: 
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